

Appendix B to the FORM TCR with facts pertinent to the violation

In October 2012, a 3 year investment agreement (IA) was entered with UBS (Beharman) Ltd. (UBS Beharman), involving the loan of money secured by residential property where we granted mortgage on our residential home. In exchange of a 10 % loan of the appraised value, where 50% of this sum (5% of the appraised value) would also be not account at UBS Beharman, and would encash our funds through its recommended trading facility.

The product name was - Real Estate Collaborative Loan.

June 2013 we were able to place first trade.

June-September 2013 we placed 252 trades.

Our requests to produce regular trade confirmations were ignored.

All our other queries regarding trading activity have also been ignored by the UBS Beharman and was the subject of our litigation in the Bahamas.

In October 2014 as response to our persistent requests to produce regular trade records, to establish access to our trading activity according to the industry standards, so we can generate profits, which was the reason for the IA, UBS Beharman initiated a Summary Judgment proceedings against us.

In September 2015 we filed a cross motion against UBS Beharman in the Supreme Court of the Bahamas - 20150242/Egyptus, 01607.

In August 2016, after seven requests to produce regular trade records, filed in the court, during the discovery stage UBS Beharman disclosed a total 252 Trade orders.

On 5 November 2016, during the hearing we were able to explain to the Hon. J. Ian Windsor, who is now a Chief Justice of the Supreme Court of the Bahamas, that these documents were internal accounting of the UBS Beharman. The copies we were handed since 2013 (see the TCR 17-21, Appendix B Exhibit C, pages 27-28). Due to the fact page limit, we have only page 19-20 as an example and would not be identical to regular Trading Records with all specific numbers of registration in the National Clearing System of the United States.

On November 7, 2016, by the indication of the Hon. Judge, UBS Beharman disclosed its Composites 152 Internal records of transactions in New York's securities exchanges for 51 trades, including:

- 51 "Contract Orders" and
- 51 "UBS Beharman Ltd. Security Trade Contracts - (STC) ("Internal Records") (see Appendix B Exhibit B, 19- 21, 24- 25, 28- 29), as an example see the factoids which contain the unique statements made in connection with the purchases and sales of securities on the U.S. national securities exchanges by the individuals and entities involved in it - please see below² the list of these "Contract Order" - Internal Records.

On 7 March 2019 by the Order of the Hon. Judge Ian Windsor as he was then, UBS again filed Affidavit of Denise Fleisher the former Head of Business Management with UBS Beharman, on paragraph 8 it reads:

"As it relates to Schedule 1 of Exhibit B6.6, to the best of my knowledge, information and belief (UBS) has produced all of the contract orders, trade orders and trade records in its possession, UBS does not have another contract orders in its possession, other than what has already been produced."

On the face of this affidavit affirmable fact we thought to visit UBS head persons and visit their premises.

In April - May 2019 we wrote to eight top persons of UBS, asking explanations - no answer. Please, find all these letters -

1. _____ on April 18, 2018, Jonathan Bourne - Author in Charge at email address Jonathan@greenleaf.com.