

Appendix B to the FORM TCR with facts pertinent to the violation

In October 2012, a 5 years investment agreement (IA) was entered with UBS (Bahamas) Ltd. ("UBS Bahamas"), involving the loan of money secured by residential property where we granted mortgage on our residential home in exchange of a 50 % loan of the appraisal value, where 50% of this sum (25% of the appraisal value) would stay in out account at UBS Bahamas, and would execute our trades through its renomated trading facility.

The product name was - Real Estate Collateralized Loan.

June 2013 we were able to place first trade.

June-September 2013 we placed 252 trades.

Our requests to produce regular trade confirmations were ignored.

All our other claims regarding trading activity have also been ignored by the UBS Bahamas and are the subject of our litigation in the Bahamas.

In October 2014 as response to our persistent requests to produce regular trade records, to establish service to our trading activity according to the industry standards, so we can generate profits, which was the reason for the IA, UBS Bahamas initiated a Summary Judgment proceedings against us.

In September 2015 we filed a cross-action against UBS Bahamas in the Supreme Court of the Bahamas - 2015/CLE/gen/No. 01451 .

In August 2018, after seven requests to produce regular trade records, filed in the court, during the discovery stage UBS Bahamas disclosed a list of 252 Trade advices.

On 6 November 2018, during the hearing we were able to explain to the Hon J. Ian Winder, who is now a Chief Justice of the Supreme Court of the Bahamas, that those documents were internal accounting of the UBS Bahamas, the same we were handled since 2013 (see Dkt 112-11, Appendix B Exhibit IT, pages 97-249, due to the fax's page limit, we have only pages 98,99, as an example) and could not be deemed as regular Trading Records with all relative numbers of registration in the National Clearing System of the United States.

On November 7, 2018, by the indication of the Hon. Judge, UBS Bahamas disclosed to Complainants 102 internal records of transactions on New York's securities exchanges for 51 trades, including:

- 51 "Contract Notes" and
- 51 "UBS (Bahamas) Ltd. Security Trial Contracts - OES" ("Internal Records") (see Appendix B, Exhibit IR, TAB 1, TAB 21, as an example due the fax limits) which contain the untrue statements made in connection with the purchases and sales of securities on the U.S. national securities exchanges by the individuals and entities indicated in it - please see below* the list of these "Contract Notes" - Internal Records.

On 7 March 2019 by the Order of the Hon. Judge Ian Winder, as he was then, UBS sworn Fifth Affidavit of Renate Raeber, the former Head of Business Management with UBS Bahamas, on paragraph 8 it reads :

"As it relates to Schedule 1 of Exhibit RR-5, to the best of my knowledge, information and belief, UBS has produced all of the contract notes, trade advices and trade receipts in its possession. UBS does not have any other contract notes in its possession, other than what has already been produced".

On the face of this obvious unthinkable fraud we thought to alarm UBS head persons and seek their assistance.

In April - May 2019 we wrote to eight key persons of UBS, asking explanations - no answer.

Please, find all those letters :

1. [on April 16, 2019, Jonathan Bourne \(Auditor in Charge\) via email delivery: jbourne@nexant.com;](mailto:jbourne@nexant.com)

2. [on April 17, 2019, Thomas Schneider \(Licensed Audit Expert and Statutory Auditor\) via email delivery: thomas.schneider@blkb.ch, kathrin.schneider@blkb.ch;](#)
3. [on April 18, 2019, Isabelle Romy \(UBS\) via email delivery: iromy@froriep.ch;](#)
4. [on April 23, 2019, Beatrice Weder di Mauro \(UBS\) via email delivery: bwederdimauro@cepr.org;](#)
5. [on April 24, 2019, Sergio Ermotti \(UBS\) via email delivery: alexandra.mantovani@ubs.com;](#)
6. [on April 30, 2019, Urs Kaegi \(Bär & Karrer AG\) via email delivery: urs.kaegi@baerkarrer.ch, diana.afzal@baerkarrer.ch.*](#)
7. [on May 2, 2019, Tom Naratil \(UBS\) via email delivery: marsha.askins@ubs.com, peter.stack@ubs.com, laura.hastings@ubs.com;](#)
8. [on May 8, 2019, Bernard Sechaud \(UBS\) via hand delivery at UBS Annex, 31 East Bay Street, Nassau, The Bahamas](#)

In August 2019 UBS made an application to vacate our trial in the Supreme Court of the Bahamas and was granted the same on 21 September 2019.

The ground is that UBS has no one expert-witness. We have four expert-witnesses as it was ordered by the Judge.

It is after this Affidavit, and lack of any response on the part of UBS, and our trial postponed in such an absurd application, we were sure that this is a huge fraud - fictitious trading is proven, and we filed our action in NYSD, on 28 October 2019.

In the NYSD firstly we asked for certification for the class action, the same was denied.

February 2022, in order to survive the motion for dismissal as time barred, we amended our complaint, suing UBS only for the false records of 51 trades produced on 7 November, 2018.

All our requests for discoveries, denied by the judge in our case 19-cv-09993-KPF filed in S.D.N.Y on 28 October 2019.

Chronology of last proceedings:

- Dkt. 108 filed February 4, 2022 Order for Directions;

- Dkt. 110 filed February 28, 2022 Third Amended Complaint (TAC);

Then they tried to dismiss us, we proved to the court that we shouldn't be dismissed/ Consequently:

- Dkt.122 filed April 7, 2022 Scheduling Order by the judge;

- Dkt 123 filed May 3, 2022 Notice to dismiss TAC;

- Dkt.128 filed May 31, 2022 - Plaintiffs Memorandum of Law in response to Defendants consolidation motion to dismiss;

- Dkt.134 filed June 22, 2022 UBS reply on the Memorandum of Law in support of motion to dismiss.

Chronology of our attempts for discovery :

- Dkt. 37, 37.1 - 37.7 filed June 26, 2020, the Judge denied our request to draft a letter-motion for an informal conference with the Court seeking a pre-motion discovery

- Dkt. 38 filed June 26, 2020, the Judge stayed discovery in our action against UBS AG and UBS (Bahamas) Ltd. pending the Court's decision on the bank's motion to dismiss our complaint.

- Dkt. 39 filed July 1, 2020, the Judge denied our request to consider matters of which judicial notice may be taken under Federal Rule of Evidence 201 and to allow us to file a motion asking the Court to take judicial notice of adjudicative facts, pending the Court's decision on the bank's motion to dismiss our complaint. (Dkt. 40).

* The List of the UNTRUE STATEMENTS contained in the "Contract Notes" - Internal Records made by the following individuals and entities:

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1. UBS Bahamas, Kyle Williams, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of United States exchange-traded fund shares ("U.S. ETF shares") "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of New York Stock Exchange's Arca ("NYSE Group Inc.") for US\$95,851.25 debited from the Plaintiffs' account 32,377/01,00 on June 13, 2013 (see Exhibit IR, TAB 1).
2. UBS Bahamas, Kyle Williams, UBS AG, UBS Wealth Management and UBS AG Zurich that 3,000 of U.S. ETF shares "BARCL IPATH ETN 19" were purchased on the Trading Place of National Association of Securities Dealers Automated Quotations System ("NASDAQ") for US\$69,063.68 debited from the Plaintiffs' account 32,377/01,00 on June 24, 2013 (see Exhibit IR, TAB 2).
3. UBS Bahamas, Kyle Williams, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,200 of U.S. ETF shares "DIREXION DL SC BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$54,844.33 debited from the Plaintiffs' account 32,377/01,00 on June 26, 2013 (see Exhibit IR, TAB 3).
4. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 3,000 of U.S. ETF shares "BARCL IPATH ETN 19" were SOLD on the Trading Place of NASDAQ for US\$64,898.39 credited to the Plaintiffs' account 32,377/01,00 on June 26, 2013 (see Exhibit IR, TAB 3).
5. UBS Bahamas, Kyle Williams, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$58,117.43 debited from the Plaintiffs' account 32,377/01,00 on July 16, 2013 (see Exhibit IR, TAB 4).
6. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$61,718.51 debited from the Plaintiffs' account 32,377/01,00 on July 19, 2013 (see Exhibit IR, TAB 5).
7. UBS Bahamas, Kyle Williams, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "PR ULSH 20+Y TR ETF" were purchased on the Trading Place of NYSE Group Inc. for US\$74,422.32 debited from the Plaintiffs' account 32,377/01,00 on July 23, 2013 (see Exhibit IR, TAB 6).
8. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$77,196.15 debited from the Plaintiffs' account 32,377/01,00 on July 25, 2013 (see Exhibit IR, TAB 7).
9. UBS Bahamas, Kyle Williams, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$78,123.43 debited from the Plaintiffs' account 32,377/01,00 on July 25, 2013 (see Exhibit IR, TAB 7).
10. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$73,722.11 debited from the Plaintiffs' account 32,377/01,00 on July 26, 2013 (see Exhibit IR, TAB 8).
11. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$74,241.27 debited from the Plaintiffs' account 32,377/01,00 on July 26, 2013 (see Exhibit IR, TAB 8).
12. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 500 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$39,981.99 debited from the Plaintiffs' account 32,377/01,00 on July 31, 2013 (see Exhibit IR, TAB 9).
13. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 5,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$35,490.64 debited from the Plaintiffs' account 32,377/01,00 on August 1, 2013 (see Exhibit IR, TAB 10).

14. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 500 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$40,579.17 debited from the Plaintiffs' account 32,377/01,00 on August 1, 2013 (see Exhibit IR, TAB 10).
15. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 500 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$40,946.28 debited from the Plaintiffs' account 32,377/01,00 on August 1, 2013 (see Exhibit IR, TAB 10).
16. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 5,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$34,360.30 debited from the Plaintiffs' account 32,377/01,00 on August 2, 2013 (see Exhibit IR, TAB 11).
17. UBS Bahamas, Kyle Williams, UBS AG, UBS Wealth Management and UBS AG Zurich that 500 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$43,236.97 debited from the Plaintiffs' account 32,377/01,00 on August 2, 2013 (see Exhibit IR, TAB 11).
18. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$5,501.65 debited from the Plaintiffs' account 32,377/01,00 on August 6, 2013 (see Exhibit IR, TAB 12).
19. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$102,730.81 debited from the Plaintiffs' account 32,377/01,00 on August 6, 2013 (see Exhibit IR, TAB 12).
20. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$101,543.25 debited from the Plaintiffs' account 32,377/01,00 on August 6, 2013 (see Exhibit IR, TAB 12).
21. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$103,188.95 debited from the Plaintiffs' account 32,377/01,00 on August 7, 2013 (see Exhibit IR, TAB 13).
22. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$68,420.52 debited from the Plaintiffs' account 32,377/01,00 on August 9, 2013 (see Exhibit IR, TAB 14).
23. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$68,972.18 debited from the Plaintiffs' account 32,377/01,00 on August 9, 2013 (see Exhibit IR, TAB 14).
24. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$64,873.76 debited from the Plaintiffs' account 32,377/01,00 on August 9, 2013 (see Exhibit IR, TAB 14).
25. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$77,323.19 debited from the Plaintiffs' account 32,377/01,00 on August 12, 2013 (see Exhibit IR, TAB 15).
26. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$77,322.94 debited from the Plaintiffs' account 32,377/01,00 on August 12, 2013 (see Exhibit IR, TAB 15).
27. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$82,581.27 debited from the Plaintiffs' account 32,377/01,00 on August 14, 2013 (see Exhibit IR, TAB 16).
28. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$82,624.78 debited from the Plaintiffs' account 32,377/01,00 on August 14, 2013 (see Exhibit IR, TAB 16).
29. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$83,024.90 debited from the Plaintiffs' account 32,377/01,00 on August 14, 2013 (see Exhibit IR, TAB 16).

30. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$82,581.27 debited from the Plaintiffs' account 32,377/01,00 on August 14, 2013 (see Exhibit IR, TAB 16).
31. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$97,560.26 debited from the Plaintiffs' account 32,377/01,00 on August 16, 2013 (see Exhibit IR, TAB 17).
32. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$95,551.66 debited from the Plaintiffs' account 32,377/01,00 on August 16, 2013 (see Exhibit IR, TAB 17).
33. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$9,052.72 debited from the Plaintiffs' account 32,377/01,00 on August 19, 2013 (see Exhibit IR, TAB 18).
34. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 10,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$92,827.84 debited from the Plaintiffs' account 32,377/01,00 on August 19, 2013 (see Exhibit IR, TAB 18).
35. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,324 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were SOLD on the Trading Place of NYSE Group Inc. for US\$126,010.31 credited to the Plaintiffs' account 32,377/01,00 on August 20, 2013 (see Exhibit IR, TAB 19).
36. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 400 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$38,411.52 debited from the Plaintiffs' account 32,377/01,00 on August 20, 2013 (see Exhibit IR, TAB 19).
37. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 100 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$9,727.92 debited from the Plaintiffs' account 32,377/01,00 on August 20, 2013 (see Exhibit IR, TAB 19).
38. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 100 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$9,702.91 debited from the Plaintiffs' account 32,377/01,00 on August 20, 2013 (see Exhibit IR, TAB 19).
39. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 100 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$9,690.91 debited from the Plaintiffs' account 32,377/01,00 on August 20, 2013 (see Exhibit IR, TAB 19).
40. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 500 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$47,476.24 debited from the Plaintiffs' account 32,377/01,00 on August 20, 2013 (see Exhibit IR, TAB 19).
41. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were SOLD on the Trading Place of NYSE Group Inc. for US\$90,971.12 credited to the Plaintiffs' account 32,377/01,00 on August 21, 2013 (see Exhibit IR, TAB 20).
42. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were SOLD on the Trading Place of NYSE Group Inc. for US\$90,841.16 credited to the Plaintiffs' account 32,377/01,00 on August 21, 2013 (see Exhibit IR, TAB 20).
43. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BR3X" were purchased on the Trading Place of NYSE Group Inc. for US\$24,407.32 debited from the Plaintiffs' account 32,377/01,00 on August 21, 2013 (see Exhibit IR, TAB 20).
44. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$91,137.33 debited from the Plaintiffs' account 32,377/01,00 on August 21, 2013 (see Exhibit IR, TAB 20).
45. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$91,801.53 debited from the Plaintiffs' account 32,377/01,00 on August 21, 2013 (see Exhibit IR, TAB 20).

46. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$93,667.09 debited from the Plaintiffs' account 32,377/01,00 on August 21, 2013 (see Exhibit IR, TAB 20).
47. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$93,027.90 debited from the Plaintiffs' account 32,377/01,00 on August 21, 2013 (see Exhibit IR, TAB 20).
48. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 2,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were SOLD on the Trading Place of NYSE Group Inc. for US\$191,052.58 credited to the Plaintiffs' account 32,377/01,00 on August 23, 2013 (see Exhibit IR, TAB 21).
49. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$96,344.64 debited from the Plaintiffs' account 32,377/01,00 on August 23, 2013 (see Exhibit IR, TAB 21).
50. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$96,616.98 debited from the Plaintiffs' account 32,377/01,00 on August 23, 2013 (see Exhibit IR, TAB 21).
51. UBS Bahamas, LaNovia Ferguson, UBS AG, UBS Wealth Management and UBS AG Zurich that 1,000 of U.S. ETF shares "DRXN DLY GLDMN BL3X" were purchased on the Trading Place of NYSE Group Inc. for US\$95,028.50 debited from the Plaintiffs' account 32,377/01,00 on August 23, 2013 (see Exhibit IR, TAB 21).